



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/847,866	05/02/2001	Behzad Razavi	20798-000110US	5822
27820	7590	07/06/2004	EXAMINER	
WITHROW & TERRANOVA, P.L.L.C.			NGUYEN, DAVID Q	
P.O. BOX 1287			ART UNIT	PAPER NUMBER
CARY, NC 27512			2681	

DATE MAILED: 07/06/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/847,866

Applicant(s)

RAZAVI ET AL.

Examiner

David Q Nguyen

Art Unit

2681

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 May 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-38 is/are pending in the application.
- 4a) Of the above claim(s) 19-26 and 33-38 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-18 and 27-32 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Election/Restrictions

- I. Restriction to one of the following inventions is required under 35 U.S.C. 121:
 - I. Claims 1-18 and 27-32, drawn to a mixer circuit and a method comprising generating a first current in a differential stage; generating a second current in a shunt circuit; coupling the first current and the second current through a common node to generate a bias current in a bias circuit; receiving an RF signal in the bias circuit; receiving a second signal in the differential stage; and generating a mixer output in accordance with the RF signal and the second signal, classified in class 455, subclass 326.
 - II. Claims 19-26, drawn to A receiver circuit comprising: an input amplifier coupled to receive an input radio frequency (RF) signal; and a mixer circuit having a first input coupled to an output of the input amplifier and a second input coupled to receive a differential oscillating signal, the mixer circuit comprising: a gain stage configured to receive a first signal and a modulated bias current, and in accordance therewith, produce an output signal, the gain stage generating a first current and receiving the modulated bias current on a common node; a current shunt circuit coupled between the common node and a reference voltage, the current shunt circuit configured to generate a second current, wherein the first current and the second current are coupled to the common node; and a bias circuit to generate the modulated bias current, the bias circuit having an input configured to receive a second signal, and in accordance therewith, generate the modulated

bias current, and an output coupled to the common node to provide the modulated bias current to the gain stage, classified in class 455, subclass 241.1.

- III: Claims 33-38, drawn to A method of mixing signals in a mixer comprising: biasing a gain stage of the mixer using a first current having a first magnitude at a first frequency of operation; and biasing the gain stage of the mixer using a second current having a second magnitude at a second frequency of operation, classified in class 330, subclass 105.

The inventions are distinct, each from the other because of the following reasons:

2. Inventions I and II are related as a subcombinations disclosed as usable together in a single combination. The subcombinations are distinct from each other if they are shown to separately usable. In the instant case, invention I has separate utility such as a mixer, classified in class 455, subclass 326. See MPEP § 806.05(d).

Inventions I and III are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are one method deal with differential stage, shunt circuit and bias circuit, and the other one deals with biasing a gain stage of the mixer using a first current and second current magnitude at a first frequency and second frequency.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Art Unit: 2681

3. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

4. During a telephone conversation with Mr. Chad Bevins (Reg. No. 51468) on June 18, 2004 a provisional election was made without traverse to prosecute the invention of group I, claims 1-18 and 27-32. Affirmation of this election must be made by applicant in replying to this Office action. Group II, claims 19-26 and group III, claims 33-38 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1-2,6,10-11,14-15,17-18,27-29 and 32 are rejected under 35 U.S.C. 102(b) as being anticipated by Graziadei et al (US 4480337).

Regarding claim 1, Graziadei et al disclose a mixer circuit comprising: a gain stage coupled to receive a first signal on a first input (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15) and a modulated bias current on a common node (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15), and in accordance therewith, produce an output signal, the gain stage having a first current (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15); a current shunt circuit coupled between the common node and a reference voltage (see fig. 2

Art Unit: 2681

and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15), the current shunt circuit having a second current, wherein the first current and the second current are coupled to the common node (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15); and a bias circuit to generate the modulated bias current, the bias circuit having an input coupled to receive a second signal, and in accordance therewith, generate the modulated bias current, and an output coupled to the common node to provide the modulated bias current to the gain stage (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Regarding claim 2, Graziadei et al also disclose wherein the second current controls the gain of the gain stage and bias circuit (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Regarding claim 6, Graziadei et al also disclose wherein the second signal is an RF signal and the first signal is a differential signal (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15)

Regarding claims 10, Graziadei et al also disclose wherein the differential signal is generated by a local oscillator, and wherein the output of the local oscillator is amplified to generate a differential signal that approximates a square wave (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15)

Regarding claims 11, wherein the bias circuit comprises a first transistor having a control input and a first and second output, wherein the control input is coupled to receive an RF signal, the first output is coupled to a second reference voltage, and the second output is coupled to the common node (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Regarding claim 14, Graziadei et al also disclose wherein the gain stage is a differential stage and the first signal is a differential signal (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Regarding claim 15, Graziadei et al also disclose wherein the differential stage comprises: a first transistor having a control input and first and second outputs, the control input coupled to receive a first component of the differential signal; a second transistor having a control input and first and second outputs, the control input coupled to receive a second component of the differential signal; and a load coupled to the first output of the first transistor and to the first output of the second transistor, wherein the second output of the first transistor and the second output of the second transistor are coupled together and to the common node (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Regarding claims 17, Graziadei et al also disclose wherein the load comprises a first resistor coupled between the first output of the first transistor and a supply voltage and a second resistor coupled between the first output of the second transistor and the supply voltage (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Regarding claim 18, Graziadei et al also disclose wherein the reference voltage is a supply voltage (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Regarding claim 27-29 and 32, Graziadei et al disclose a method of mixing signals in a mixer circuit comprising: generating a first current in a differential stage; generating a second current in a shunt circuit; coupling the first current and the second current through a common node to generate a bias current in a bias circuit; receiving an RF signal in the bias circuit; receiving a second signal in the differential stage; and generating a mixer output in accordance

Art Unit: 2681

with the RF signal and the second signal (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15); modulating the bias current in accordance with the RF signal (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15); coupling the modulated bias current into the differential stage through the common node (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15); wherein the mixer output is proportional to the product of the first signal and the second signal (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 3-5,7-9,12-13,16 and 30-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Graziadei et al (US 4480337).

Regarding claim 3, Graziadei et al disclose a mixer comprising all of the limitations as claimed in claim 1. Graziadei et al fail to disclose the current shunt circuit comprises a MOS transistor coupled between the common node and the reference voltage. However, Graziadei et al disclose a transistor coupled between the common node and the reference voltage (see fig. 2). Official notice is taken that the MOS transistor of applicant's claimed invention is equivalent to the transistor of Graziadei et al's reference in order to use in the shunt circuit of the mixer.

Art Unit: 2681

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide above teaching to shunt the circuit of the mixer.

Regarding claims 4-5, Graziadei et al also disclose wherein the current shunt circuit comprises a MOS transistor coupled between the common node and the reference voltage; wherein the reference voltage is a supply voltage (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15); wherein current shunt circuit further comprises a resistor coupled in series between the common node and the MOS transistor.

Regarding claims 7-9, Graziadei et al do not mention wherein the frequency of the differential signal is an integer fraction of the frequency of the RF signal; wherein the integer fraction is one-third; wherein the differential signal is approximately a square wave. Official notice is taken that wherein the frequency of the differential signal is an integer fraction of the frequency of the RF signal; wherein the integer fraction is one-third; wherein the differential signal is approximately a square wave are well known in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide above teaching to design gain stage as desired design.

Regarding claim 12, Graziadei et al fail to disclose wherein the first transistor is an NMOS transistor. However, Graziadei et al disclose wherein the first transistor is a transistor (see fig. 2). Official notice is taken that the NMOS transistor of applicant's claimed invention is equivalent to the transistor of Graziadei et al's reference as desired design. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide above teaching to amplify an RF input as desired design.

Art Unit: 2681

Regarding claim 13, Graziadei et al also disclose wherein the bias circuit further comprises a capacitor having a first terminal coupled to the gate of the first transistor and a second terminal coupled to receive the RF input signal (see fig. 2 and col. 1, lines 57 to col. 4, line 24; col. 4, lines 9-15).

Regarding claim 16, Graziadei et al fail to disclose wherein the first and second transistors are NMOS transistors. However, Graziadei et al disclose wherein the first and second transistors are transistors (see fig. 2). Official notice is taken that the NMOS transistors of applicant's claimed invention are equivalent to the transistors of Graziadei et al's reference as desired design. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide above teaching to amplify an RF input and to use in the shunt circuit as desired design.

Regarding claims 30-31, Graziadei et al do not mention wherein the frequency of the second signal is an integer fraction of the frequency of the RF signal; wherein the integer fraction is one-third. Official notice is taken that wherein the frequency of the differential signal is an integer fraction of the frequency of the RF signal; wherein the integer fraction is one-third are well known in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide above teaching to design gain stage as desired design.

Conclusion

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Art Unit: 2681

Grove (US 6175274) teaches Switched gain Low noise amplifier.

Andrys et al. (US 6057714) teaches Double Balance Differential Active Ring Mixer with Current Shared Active Input Balun.

Rofougaran et al. (US 6738601) teaches Adaptive Radio Transceiver with Floating MOSFET Capacitors.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Q Nguyen whose telephone number is 703-605-4254. The examiner can normally be reached on 8:30AM-5:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Erika A Gary can be reached on 703-308-0123. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

David Nguyen

DN

June 24, 2004


ERIKA GARY
PATENT EXAMINER